

Share Holding Pattern – Oct 2023

General information about company

General information about company	
Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NA
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Share Holding Pattern – Oct 2023

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Share Holding Pattern – Oct 2023

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0
As on the end of previous 1st quarter	100	0
As on the end of previous 2nd quarter	100	0
As on the end of previous 3rd quarter	100	0
As on the end of previous 4th quarter	100	0

Share Holding Pattern – Oct 2023

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78193075			78193075	51.35	78193075		78193075	51.35
(B)	Public	728310	70815187			70815187	46.5	70815187		70815187	46.5
(C)	Non Promoter Non-Public	1	3266549			3266549		3266549		3266549	2.15
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	3266549			3266549	2.15	3266549		3266549	2.15
	Total	728317	152274811			152274811	100	152274811		152274811	100

Share Holding Pattern – Oct 2023

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				51.35			5483884	7.01	78193075			
(B)	Public				46.5					70157029	0	0	0
(C)	Non Promoter-Non Public									3266549			
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts				2.15					3266549			
	Total				98			5483884	3.6	151616653	0	0	0

Share Holding Pattern – Oct 2023

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Classes eg:Y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60244291			60244291	39.56	60244291		60244291	39.56
(d)	Any Other (specify)	1	17948784			17948784	11.79	17948784		17948784	11.79
Sub-Total (A)(1)		6	78193075			78193075	51.35	78193075		78193075	51.35
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78193075			78193075	51.35	78193075		78193075	51.35
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	13	2020521			2020521	1.33	2020521		2020521	1.33
	Banks	1	1000			1000	0	1000		1000	0
(e)	Insurance Companies	3	1667754			1667754	1.1	1667754		1667754	1.1

Share Holding Pattern – Oct 2023

(i)	NBFCs registered with RBI	3	1990			1990	0	1990		1990	0
Sub-Total (B)(1)		20	3691265			3691265	2.42	3691265		3691265	2.42
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	105	7658415			7658415	5.03	7658415		7658415	5.03
(e)	Foreign Portfolio Investors Category II	5	168494			168494	0.11	168494		168494	0.11
Sub-Total (B)(2)		110	7826909			7826909	5.14	7826909		7826909	5.14
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	7	1688194			1688194	1.11	1688194		1688194	1.11
(c)	Key Managerial Personnel	1	51000			51000	0.03	51000		51000	0.03
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	713323	43758795			43758795	28.74	43758795		43758795	28.74
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	13	3331381			3331381	2.19	3331381		3331381	2.19
(i)	Non Resident Indians (NRIs)	7914	3524047			3524047	2.31	3524047		3524047	2.31
(j)	Foreign Nationals	2	239329			239329	0.16	239329		239329	0.16
(l)	Bodies Corporate	888	5894397			5894397	3.87	5894397		5894397	3.87

Share Holding Pattern – Oct 2023

(m)	Any Other (specify)	6032	809870			809870	0.53	809870		809870	0.53
Sub-Total (B)(4)		728180	59297013			59297013	38.94	59297013		59297013	38.94
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		728310	70815187			70815187	46.5	70815187		70815187	46.5
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	3266549			3266549	2.15	3266549		3266549	2.15
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3266549			3266549		3266549		3266549	2.15
Total (A+B+C2)		728317	152274811			152274811	100	152274811		152274811	100
Total (A+B+C)		728317	152274811			152274811	100	152274811		152274811	100

Share Holding Pattern – Oct 2023

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				39.56			5483884	9.1	60244291			
(d)				11.79			0	0	17948784			
Sub-Total (A)(1)				51.35			5483884	7.01	78193075			
2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				51.35			5483884	7.01	78193075			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				1.33					2020521	0	0	0
(d)				0					1000	0	0	0
(e)				1.1					1667754	0	0	0
(i)				0					1990	0	0	0
Sub-Total (B)(1)				2.42					3691265	0	0	0
(2)	Institutions (Foreign)											

Share Holding Pattern – Oct 2023

(d)				5.03					7658415	0	0	0
(e)				0.11					168494	0	0	0
Sub-Total (B)(2)				5.14					7826909	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				1.11					1688194	0	0	0
(c)				0.03					51000	0	0	0
(g)				28.74					43276562	0	0	0
(h)				2.19					3331381	0	0	0
(i)				2.31					3348122	0	0	0
(j)				0.16					239329	0	0	0
(l)				3.87					5894397	0	0	0
(m)				0.53					809870	0	0	0
Sub-Total (B)(4)				38.94					58638855	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				46.5					70157029	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
(2)				2.15					3266549			
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									3266549			
Total (A+B+C2)				100					151616653			
Total (A+B+C)				98			5483884	3.6	151616653			

Share Holding Pattern – Oct 2023

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	SURESH SOOTA	DEEPAK SOOTA	ASHOK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	AASPS8619P	APMPS5390E	ASRPS2966A	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	27000	49063	60075393	18774	74061	60244291
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	27000	49063	60075393	18774	74061	60244291
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.03	39.45	0.01	0.05	39.56
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	27000	49063	60075393	18774	74061	60244291
Class eg:y						
Total	27000	49063	60075393	18774	74061	60244291
Total as a % of Total Voting rights	0.02	0.03	39.45	0.01	0.05	39.56
No. Of Shares Underlying Outstanding convertible securities (X)						

Share Holding Pattern – Oct 2023

No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.03	39.45	0.01	0.05	39.56
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	0	5483884	0	0	5483884
As a % of total Shares held (b)	0	0	9.13	0	0	9.1
Number of equity shares held in dematerialized form (XIV)	27000	49063	60075393	18774	74061	60244291
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern – Oct 2023

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.79	11.79
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	11.79	11.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern – Oct 2023

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.79	11.79
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Share Holding Pattern – Oct 2023

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	PGIM INDIA TRUSTEES PRIVATE LIMITED A/C PGIM INDIA	Click here to go back
PAN (II)	AABTP7548P	Total
No. of fully paid up equity shares held (IV)	1529969	1529969
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1529969	1529969
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1	1
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1529969	1529969
Class eg:y		
Total	1529969	1529969
Total as a % of Total Voting rights	1	1
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1	1

Share Holding Pattern – Oct 2023

Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	1529969	1529969
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Share Holding Pattern – Oct 2023

Bodies Corporate		
Serial No.	1	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	Click here to go back
PAN (II)	AATFP4879R	Total
No. of fully paid-up equity shares held (IV)	5000000	5000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5000000	5000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.28	3.28
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5000000	5000000
Class eg:y		
Total	5000000	5000000
Total as a % of Total Voting rights	3.28	3.28
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern – Oct 2023

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.28	3.28
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5000000	5000000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Share Holding Pattern – Oct 2023

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Clearing Members	Bodies Corporate	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	7	1	6019	5	6032
No. of fully paid up equity shares held (IV)	630	5	808320	915	809870
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	630	5	808320	915	809870
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0.53	0	0.53
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	630	5	808320	915	809870
Class eg:y					
Total	630	5	808320	915	809870
Total as a % of Total Voting rights	0	0	0.53	0	0.53
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					

Share Holding Pattern – Oct 2023

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0	0.53	0	0.53
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	630	5	808320	915	809870
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Share Holding Pattern – Oct 2023

Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		
Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	Click here to go back
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3266549	3266549
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3266549	3266549
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.15	2.15
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3266549	3266549
Class eg:y		
Total	3266549	3266549
Total as a % of Total Voting rights	2.15	2.15
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern – Oct 2023

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.15	2.15
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	3266549	3266549
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern – Oct 2023

Significant beneficial owners															
Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		11.79	11.79	11.79	No	No	26-05-2020
Total:										11.79	11.79	11.79			