

Share Holding Pattern – Jan 2024

General information about company

General information about company	
Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NA
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	1.63
As on the end of previous 1st quarter	100	1.64
As on the end of previous 2nd quarter	100	1.68
As on the end of previous 3rd quarter	100	1.68
As on the end of previous 4th quarter	100	1.69

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	76499959			76499959	50.24	76499959		76499959	50.24
(B)	Public	736123	72573975			72573975	47.66	72573975		72573975	47.66
(C)	Non Promoter Non-Public	1	3200877			3200877		3200877		3200877	2.1
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	3200877			3200877	2.1	3200877		3200877	2.1
	Total	736130	152274811			152274811	100	152274811		152274811	100

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				50.24			5483884	7.17	76499959			
(B)	Public				47.66					72253699	0	0	0
(C)	Non Promoter-Non Public									3200877			
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts				2.1					3200877			
	Total				98			5483884	3.6	151954535	0	0	0

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	58551175			58551175	38.45	58551175		58551175	38.45
(d)	Any Other (specify)	1	17948784			17948784	11.79	17948784		17948784	11.79
Sub-Total (A)(1)		6	76499959			76499959	50.24	76499959		76499959	50.24
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	76499959			76499959	50.24	76499959		76499959	50.24
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	11	1795179			1795179	1.18	1795179		1795179	1.18
(d)	Banks	1	1000			1000	0	1000		1000	0
(e)	Insurance Companies	4	1877787			1877787	1.23	1877787		1877787	1.23

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(i)	NBFCs registered with RBI	2	1920			1920	0	1920		1920	0
Sub-Total (B)(1)		18	3675886			3675886	2.41	3675886		3675886	2.41
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	105	7518511			7518511	4.94	7518511		7518511	4.94
(e)	Foreign Portfolio Investors Category II	5	168819			168819	0.11	168819		168819	0.11
Sub-Total (B)(2)		110	7687330			7687330	5.05	7687330		7687330	5.05
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	7	1509924			1509924	0.99	1509924		1509924	0.99
(c)	Key Managerial Personnel	1	51000			51000	0.03	51000		51000	0.03
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	720831	45084146			45084146	29.61	45084146		45084146	29.61
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	15	3597091			3597091	2.36	3597091		3597091	2.36

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(i)	Non Resident Indians (NRIs)	8070	3558859			3558859	2.34	3558859		3558859	2.34
(j)	Foreign Nationals	2	239329			239329	0.16	239329		239329	0.16
(l)	Bodies Corporate	914	6306517			6306517	4.14	6306517		6306517	4.14
(m)	Any Other (specify)	6155	863893			863893	0.57	863893		863893	0.57
Sub-Total (B)(4)		735995	61210759			61210759	40.2	61210759		61210759	40.2
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		736123	72573975			72573975	47.66	72573975		72573975	47.66
C		Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	3200877			3200877	2.1	3200877		3200877	2.1
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3200877			3200877		3200877		3200877	2.1
Total (A+B+C2)		736130	152274811			152274811	100	152274811		152274811	100
Total (A+B+C)		736130	152274811			152274811	100	152274811		152274811	100

Share Holding Pattern – Jan 2024

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				38.45			5483884	9.37	58551175			
(d)				11.79			0	0	17948784			
Sub-Total (A)(1)				50.24			5483884	7.17	76499959			
2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				50.24			5483884	7.17	76499959			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				1.18					1795179	0	0	0
(d)				0					1000	0	0	0
(e)				1.23					1877787	0	0	0
(i)				0					1920	0	0	0
Sub-Total (B)(1)				2.41					3675886	0	0	0
(2)	Institutions (Foreign)											

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(d)				4.94					7518511	0	0	0
(e)				0.11					168819	0	0	0
Sub-Total (B)(2)				5.05					7687330	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				0.99					1509924	0	0	0
(c)				0.03					51000	0	0	0
(g)				29.61					44926345	0	0	0
(h)				2.36					3597091	0	0	0
(i)				2.34					3396384	0	0	0
(j)				0.16					239329	0	0	0
(l)				4.14					6306517	0	0	0
(m)				0.57					863893	0	0	0
Sub-Total (B)(4)				40.2					60890483	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				47.66					72253699	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
(2)				2.1					3200877			
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									3200877			
Total (A+B+C2)				100					151954535			
Total (A+B+C)				98			5483884	3.6	151954535			

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Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	SURESH SOOTA	DEEPAK SOOTA	ASHOK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	AASPS8619P	APMPS5390E	ASRPS2966A	BYIPS2037N	CAMPS7381A	Total
No. of fully paid-up equity shares held (IV)	27000	49063	58382277	18774	74061	58551175
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+(VI)	27000	49063	58382277	18774	74061	58551175
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.03	38.34	0.01	0.05	38.45
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	27000	49063	58382277	18774	74061	58551175
Class eg:y						
Total	27000	49063	58382277	18774	74061	58551175
Total as a % of Total Voting rights	0.02	0.03	38.34	0.01	0.05	38.45
No. Of Shares Underlying Outstanding convertible securities (X)						

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No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.03	38.34	0.01	0.05	38.45
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	0	5483884	0	0	5483884
As a % of total Shares held (b)	0	0	9.39	0	0	9.37
Number of equity shares held in dematerialized form (XIV)	27000	49063	58382277	18774	74061	58551175
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

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Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid-up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.79	11.79
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	11.79	11.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

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Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.79	11.79
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

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Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	PGIM INDIA TRUSTEES PRIVATE LIMITED A/C PGIM INDIA	Click here to go back
PAN (II)	AABTP7548P	Total
No. of fully paid up equity shares held (IV)	1551169	1551169
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1551169	1551169
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.02
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1551169	1551169
Class eg:y		
Total	1551169	1551169
Total as a % of Total Voting rights	1.02	1.02
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.02	1.02

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Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	1551169	1551169
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

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Bodies Corporate

Serial No.	1	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	Click here to go back
PAN (II)	AATFP4879R	Total
No. of fully paid-up equity shares held (IV)	5500000	5500000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5500000	5500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.61	3.61
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5500000	5500000
Class eg:y		
Total	5500000	5500000
Total as a % of Total Voting rights	3.61	3.61
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

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Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.61	3.61
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5500000	5500000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

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Any Other (specify)					
Serial No.	1	2	3	4	
Category	Clearing Members	Bodies Corporate	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	4	6147	4	6155	4
No. of fully paid up equity shares held (IV)	172	862856	865	863893	172
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	172	862856	865	863893	172
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.57	0	0.57	0
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	172	862856	865	863893	172
Class eg:y					
Total	172	862856	865	863893	172
Total as a % of Total Voting rights	0	0.57	0	0.57	0
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.57	0	0.57	0
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	172	862856	865	863893	172
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

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Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		
Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	Click here to go back
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3200877	3200877
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3200877	3200877
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.1	2.1
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3200877	3200877
Class eg:y		
Total	3200877	3200877
Total as a % of Total Voting rights	2.1	2.1
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

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Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.1	2.1
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	3200877	3200877
Reason for not providing PAN		
Reason for not providing PAN		

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Significant beneficial owners

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		50.13	50.13	50.13	No	No	26-05-2020
Total:										50.13	50.13	50.13			