

## Share Holding Pattern – April 2023

### General information about company

Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NA
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

## Share Holding Pattern – April 2023

**Table VI - Statement showing foreign ownership limits**

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

# Share Holding Pattern – April 2023

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78193080			78193080	53.24	78193080		78193080	53.24
(B)	Public	711561	64995475			64995475	44.26	64995475		64995475	44.26
(C)	Non Promoter Non-Public	1	3675001			3675001		3675001		3675001	2.5
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	3675001			3675001	2.5	3675001		3675001	2.5
	Total	711568	146863556			146863556	100	146863556		146863556	100

# Share Holding Pattern – April 2023

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				53.24	29372712	37.56	5483884	7.01	78193080			
(B)	Public				44.26					63494073	0	0	0
(C)	Non Promoter-Non Public									3675001			
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts				2.5					3675001			
	Total				98	29372712	20	5483884	3.73	145362154	0	0	0

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**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60244296			60244296	41.02	60244296		60244296	41.02
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78193080			78193080	53.24	78193080		78193080	53.24
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78193080			78193080	53.24	78193080		78193080	53.24
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	14	1147525			1147525	0.78	1147525		1147525	0.78
(c)	Alternate Investment Funds	1	12450			12450	0.01	12450		12450	0.01
(e)	Insurance Companies	1	690798			690798	0.47	690798		690798	0.47

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(i)	NBFCs registered with RBI	3	1280			1280	0	1280		1280	0
Sub-Total (B)(1)		19	1852053			1852053	1.26	1852053		1852053	1.26
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	99	5914441			5914441	4.03	5914441		5914441	4.03
(e)	Foreign Portfolio Investors Category II	7	172452			172452	0.12	172452		172452	0.12
(g)	Any Other (specify)	1	300			300	0	300		300	0
Sub-Total (B)(2)		107	6087193			6087193	4.14	6087193		6087193	4.14
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	7	1763194			1763194	1.2	1763194		1763194	1.2
(c)	Key Managerial Personnel	1	51000			51000	0.03	51000		51000	0.03
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	697138	41318818			41318818	28.13	41318818		41318818	28.13
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	16	3759904			3759904	2.56	3759904		3759904	2.56
(i)	Non Resident Indians (NRIs)	7652	3695835			3695835	2.52	3695835		3695835	2.52

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(j)	Foreign Nationals	1	45			45	0	45		45	0
(l)	Bodies Corporate	808	5696614			5696614	3.88	5696614		5696614	3.88
(m)	Any Other (specify)	5812	770819			770819	0.52	770819		770819	0.52
Sub-Total (B)(4)		711435	57056229			57056229	38.85	57056229		57056229	38.85
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		711561	64995475			64995475	44.26	64995475		64995475	44.26
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
( 2 )	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	3675001			3675001	2.5	3675001		3675001	2.5
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3675001			3675001		3675001		3675001	2.5
Total ( A+B+C2 )		711568	146863556			146863556	100	146863556		146863556	100
Total (A+B+C )		711568	146863556			146863556	100	146863556		146863556	100



# Share Holding Pattern – April 2023

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				41.02	29372712	48.76	5483884	9.1	60244296			
(d)				12.22	0	0	0	0	17948784			
Sub-Total (A)(1)				53.24	29372712	37.56	5483884	7.01	78193080			
2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.24	29372712	37.56	5483884	7.01	78193080			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				0.78					1147525	0	0	0
(c)				0.01					12450	0	0	0

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(e)				0.47					690798	0	0	0
(i)				0					1280	0	0	0
Sub-Total (B)(1)				1.26					1852053	0	0	0
(2)	Institutions (Foreign)											
(d)				4.03					5914441	0	0	0
(e)				0.12					172452	0	0	0
(g)				0					300	0	0	0
Sub-Total (B)(2)				4.14					6087193	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				1.2					1728194	0	0	0
(c)				0.03					51000	0	0	0
(g)				28.13					40542900	0	0	0
(h)				2.56					3594704	0	0	0
(i)				2.52					3170551	0	0	0
(j)				0					45	0	0	0
(l)				3.88					5696614	0	0	0
(m)				0.52					770819	0	0	0
Sub-Total (B)(4)				38.85					55554827	0	0	0
Total Public Shareholding				44.26					63494073	0	0	0

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(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)												
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
( 2 )				2.5						3675001		
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)										3675001		
Total ( A+B+C2 )				100	29372712	20				145362154		
Total (A+B+C )				98	29372712	20	5483884	3.73		145362154		

# Share Holding Pattern – April 2023

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	<a href="#">Click here to go back</a>
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid-up equity shares held (IV)	60075393	27000	49063	18779	74061	60244296
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+(VI)	60075393	27000	49063	18779	74061	60244296
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg:X	60075393	27000	49063	18779	74061	60244296
Class eg:y						
Total	60075393	27000	49063	18779	74061	60244296
Total as a % of Total Voting rights	40.91	0.02	0.03	0.01	0.05	41.02
No. Of Shares Underlying Outstanding convertible securities (X)						

# Share Holding Pattern – April 2023

No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
<b>Number of Locked in shares (XII)</b>						
No. (a)	29372712	0	0	0	0	29372712
As a % of total Shares held (b)	48.89	0	0	0	0	48.76
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>						
No. (a)	5483884	0	0	0	0	5483884
As a % of total Shares held (b)	9.13	0	0	0	0	9.1
Number of equity shares held in dematerialized form (XIV)	60075393	27000	49063	18779	74061	60244296
<b>Reason for not providing PAN</b>						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

# Share Holding Pattern – April 2023

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid-up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

## Share Holding Pattern – April 2023

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

# Share Holding Pattern – April 2023

<b>Bodies Corporate</b>		
Serial No.	1	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	<a href="#">Click here to go back</a>
PAN (II)	AATFP4879R	Total
No. of fully paid-up equity shares held (IV)	5000000	5000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5000000	5000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.4	3.4
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	5000000	5000000
Class eg:y		
Total	5000000	5000000
Total as a % of Total Voting rights	3.4	3.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		



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Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.4	3.4
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5000000	5000000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

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## Any Other (specify)

Serial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	69	5739	4	5812
No. of fully paid up equity shares held (IV)	28309	741645	865	770819
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	28309	741645	865	770819
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.5	0	0.52
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	28309	741645	865	770819
Class eg:y				
Total	28309	741645	865	770819
Total as a % of Total Voting rights	0.02	0.5	0	0.52
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02	0.5	0	0.52
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	28309	741645	865	770819
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

# Share Holding Pattern – April 2023

<b>Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021</b>		
<b>Serial No.</b>	<b>1</b>	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	<a href="#">Click here to go back</a>
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3675001	3675001
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3675001	3675001
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.5	2.5
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	3675001	3675001
Class eg:y		
Total	3675001	3675001
Total as a % of Total Voting rights	2.5	2.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

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Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.5	2.5
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	3675001	3675001
Reason for not providing PAN		
Reason for not providing PAN		

# Share Holding Pattern – April 2023

Significant beneficial owners															
Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:										12.22	12.22	12.22			