

Share Holding Pattern – Jul 2023

General information about company

General information about company	
Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NA
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-06-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Share Holding Pattern – Jul 2023

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Share Holding Pattern – Jul 2023

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

Share Holding Pattern – Jul 2023

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78193075			78193075	53.24	78193075		78193075	53.24
(B)	Public	696787	65315918			65315918	44.47	65315918		65315918	44.47
(C)	Non Promoter Non-Public	1	3354563			3354563		3354563		3354563	2.28
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	3354563			3354563	2.28	3354563		3354563	2.28
	Total	696794	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern – Jul 2023

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				53.24	29372712	37.56	5483884	7.01	78193075			
(B)	Public				44.47					64305938	0	0	0
(C)	Non Promoter-Non Public									3354563			
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts				2.28					3354563			
	Total				98	29372712	20	5483884	3.73	145853576	0	0	0

Share Holding Pattern – Jul 2023

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60244291			60244291	41.02	60244291		60244291	41.02
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.2
Sub-Total (A)(1)		6	78193075			78193075	53.24	78193075		78193075	53.24
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78193075			78193075	53.24	78193075		78193075	53.24
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	14	1142471			1142471	0.78	1142471		1142471	0.78
(e)	Insurance Companies	1	700000			700000	0.48	700000		700000	0.48
(i)	NBFCs registered with RBI	3	1715			1715	0	1715		1715	0
Sub-Total (B)(1)		18	1844186			1844186	1.26	1844186		1844186	1.26

Share Holding Pattern – Jul 2023

(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	104	6476834			6476834	4.41	6476834		6476834	4.41
(e)	Foreign Portfolio Investors Category II	5	166437			166437	0.11	166437		166437	0.11
Sub-Total (B)(2)		109	6643271			6643271	4.52	6643271		6643271	4.52
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	7	1763194			1763194	1.2	1763194		1763194	1.2
(c)	Key Managerial Personnel	1	51000			51000	0.03	51000		51000	0.03
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	682422	41187867			41187867	28.04	41187867		41187867	28.04
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	15	3660631			3660631	2.49	3660631		3660631	2.49
(i)	Non Resident Indians (NRIs)	7684	3448287			3448287	2.35	3448287		3448287	2.35
(j)	Foreign Nationals	2	239329			239329	0.16	239329		239329	0.16
(l)	Bodies Corporate	813	5705616			5705616	3.88	5705616		5705616	3.88
(m)	Any Other (specify)	5716	772537			772537	0.53	772537		772537	0.53
Sub-Total (B)(4)		696660	56828461			56828461	38.69	56828461		56828461	38.69

Share Holding Pattern – Jul 2023

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		696787	65315918			65315918	44.47	65315918		65315918	44.47
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	3354563			3354563	2.28	3354563		3354563	2.28
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3354563			3354563		3354563		3354563	2.28
Total (A+B+C2)		696794	146863556			146863556	100	146863556		146863556	100
Total (A+B+C)		696794	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern – Jul 2023

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				41.02	29372712	48.76	5483884	9.1	60244291			
(d)				12.22	0	0	0	0	17948784			
Sub-Total (A)(1)				53.24	29372712	37.56	5483884	7.01	78193075			
2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.24	29372712	37.56	5483884	7.01	78193075			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				0.78					1142471	0	0	0
(e)				0.48					700000	0	0	0
(i)				0					1715	0	0	0
Sub-Total (B)(1)				1.26					1844186	0	0	0
(2)	Institutions (Foreign)											

Share Holding Pattern – Jul 2023

(d)				4.41					6476834	0	0	0
(e)				0.11					166437	0	0	0
Sub-Total (B)(2)				4.52					6643271	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				1.2					1763194	0	0	0
(c)				0.03					51000	0	0	0
(g)				28.04					40624012	0	0	0
(h)				2.49					3495431	0	0	0
(i)				2.35					3167362	0	0	0
(j)				0.16					239329	0	0	0
(l)				3.88					5705616	0	0	0
(m)				0.53					772537	0	0	0
Sub-Total (B)(4)				38.69					55818481	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				44.47					64305938	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
(2)				2.28					3354563			
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									3354563			
Total (A+B+C2)				100	29372712	20			145853576			
Total (A+B+C)				98	29372712	20	5483884	3.73	145853576			

Share Holding Pattern – Jul 2023

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid-up equity shares held (IV)	27000	49063	60075393	18774	74061	60244291
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+(VI)	27000	49063	60075393	18774	74061	60244291
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.03	40.91	0.01	0.05	41.02
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	27000	49063	60075393	18774	74061	60244291
Class eg:y						
Total	27000	49063	60075393	18774	74061	60244291
Total as a % of Total Voting rights	0.02	0.03	40.91	0.01	0.05	41.02
No. Of Shares Underlying Outstanding convertible securities (X)						

Share Holding Pattern – Jul 2023

No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.03	40.91	0.01	0.05	41.02
Number of Locked in shares (XII)						
No. (a)	0	0	29372712	0	0	29372712
As a % of total Shares held (b)	0	0	48.89	0	0	48.76
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	0	5483884	0	0	5483884
As a % of total Shares held (b)	0	0	9.13	0	0	9.1
Number of equity shares held in dematerialized form (XIV)	27000	49063	60075393	18774	74061	60244291
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern – Jul 2023

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid-up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

Share Holding Pattern – Jul 2023

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Share Holding Pattern – Jul 2023

Bodies Corporate		
Serial No.	1	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	Click here to go back
PAN (II)	AATFP4879R	Total
No. of fully paid-up equity shares held (IV)	5000000	5000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5000000	5000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.4	3.4
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5000000	5000000
Class eg:y		
Total	5000000	5000000
Total as a % of Total Voting rights	3.4	3.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern – Jul 2023

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.4	3.4
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5000000	5000000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Share Holding Pattern – Jul 2023

Any Other (specify)

Serial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	10	5701	5	5716
No. of fully paid up equity shares held (IV)	1371	768801	2365	772537
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1371	768801	2365	772537
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.52	0	0.52
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1371	768801	2365	772537
Class eg:y				
Total	1371	768801	2365	772537
Total as a % of Total Voting rights	0	0.52	0	0.52
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				

Share Holding Pattern – Jul 2023

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.52	0	0.52
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1371	768801	2365	772537
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Share Holding Pattern – Jul 2023

Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		
Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	Click here to go back
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3354563	3354563
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3354563	3354563
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.28	2.28
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3354563	3354563
Class eg:y		
Total	3354563	3354563
Total as a % of Total Voting rights	2.28	2.28
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern – Jul 2023

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.28	2.28
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	3354563	3354563
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern – Jul 2023

Significant beneficial owners															
Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:										12.22	12.22	12.22			