

## Share Holding Pattern – October 2022

### General information about company

Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

# Share Holding Pattern – October 2022

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

## Share Holding Pattern – October 2022

**Table VI - Statement showing foreign ownership limits**

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

# Share Holding Pattern – October 2022

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78197544			78197544	53.25	78197544		78197544	53.25
(B)	Public	719190	64748608			64748608	44.09	64748608		64748608	44.09
(C)	Non Promoter-Non Public	1	3917404			3917404		3917404		3917404	2.67
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	3917404			3917404	2.67	3917404		3917404	2.67
	<b>Total</b>	<b>719197</b>	<b>146863556</b>			<b>146863556</b>	<b>100</b>	<b>146863556</b>		<b>146863556</b>	<b>100</b>

# Share Holding Pattern – October 2022

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				53.25	29372712	37.56			78197544			
(B)	Public				44.09					62770689	0	0	0
(C)	Non Promoter- Non Public									3917404			
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts				2.67					3917404			
	Total				97	29372712	20			144885637	0	0	0

# Share Holding Pattern – October 2022

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60248760			60248760	41.02	60248760		60248760	41.02
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78197544			78197544	53.25	78197544		78197544	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78197544			78197544	53.25	78197544		78197544	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	14	1578049			1578049	1.07	1578049		1578049	1.07
(e)	Insurance Companies	2	608579			608579	0.41	608579		608579	0.41
(i)	NBFCs registered with RBI	2	1100			1100	0	1100		1100	0
Sub-Total (B)(1)		18	2187728			2187728	1.49	2187728		2187728	1.49

# Share Holding Pattern – October 2022

(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	100	5780480			5780480	3.94	5780480		5780480	3.94
(g)	Any Other (specify)	1	300			300	0	300		300	0
Sub-Total (B)(2)		101	5780780			5780780	3.94	5780780		5780780	3.94
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	7	1763194			1763194	1.2	1763194		1763194	1.2
(c)	Key Managerial Personnel	1	51000			51000	0.03	51000		51000	0.03
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	704975	40968018			40968018	27.9	40968018		40968018	27.9
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	16	3729781			3729781	2.54	3729781		3729781	2.54
(i)	Non Resident Indians (NRIs)	7462	3618634			3618634	2.46	3618634		3618634	2.46

## Share Holding Pattern – October 2022

(j)	Foreign Nationals	1	45			45	0	45		45	0
(l)	Bodies Corporate	841	5703456			5703456	3.88	5703456		5703456	3.88
(m)	Any Other (specify)	5768	945972			945972	0.64	945972		945972	0.64
Sub-Total (B)(4)		719071	56780100			56780100	38.66	56780100		56780100	38.66
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		719190	64748608			64748608	44.09	64748608		64748608	44.09
C		Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
( 2 )	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	3917404			3917404	2.67	3917404		3917404	2.67
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3917404			3917404		3917404		3917404	2.67
Total ( A+B+C2 )		719197	146863556			146863556	100	146863556		146863556	100
Total (A+B+C )		719197	146863556			146863556	100	146863556		146863556	100



# Share Holding Pattern – October 2022

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				41.02	29372712	48.75			60248760			
(d)				12.22	0	0			17948784			
Sub-Total (A)(1)				53.25	29372712	37.56			78197544			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	29372712	37.56			78197544			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				1.07					1578049	0	0	0
(e)				0.41					608579	0	0	0

# Share Holding Pattern – October 2022

(i)				0				1100	0	0	0
Sub-Total (B)(1)				1.49				2187728	0	0	0
(2)	Institutions (Foreign)										
(d)				3.94				5780480	0	0	0
(g)				0				300	0	0	0
Sub-Total (B)(2)				3.94				5780780	0	0	0
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)				1.2				1689694	0	0	0
(c)				0.03				51000	0	0	0
(g)				27.9				39810433	0	0	0
(h)				2.54				3564581	0	0	0
(i)				2.46				3037000	0	0	0
(j)				0				45	0	0	0
(l)				3.88				5703456	0	0	0
(m)				0.64				945972	0	0	0
Sub-Total (B)(4)				38.66				54802181	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				44.09				62770689	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
( 2 )				2.67				3917404			
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)								3917404			
Total ( A+B+C2 )				100	29372712	20		144885637			
Total (A+B+C )				97	29372712	20		144885637			

# Share Holding Pattern – October 2022

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	<a href="#">Click here to go back</a>
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60075393	31459	49063	18779	74066	60248760
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+(VI)	60075393	31459	49063	18779	74066	60248760
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg:X	60075393	31459	49063	18779	74066	60248760
Class eg:y						
Total	60075393	31459	49063	18779	74066	60248760
Total as a % of Total Voting rights	40.91	0.02	0.03	0.01	0.05	41.02
No. Of Shares Underlying Outstanding convertible securities (X)						

# Share Holding Pattern – October 2022

No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
<b>Number of Locked in shares (XII)</b>						
No. (a)	29372712	0	0	0	0	29372712
As a % of total Shares held (b)	48.89	0	0	0	0	48.75
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60075393	31459	49063	18779	74066	60248760
<b>Reason for not providing PAN</b>						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

# Share Holding Pattern – October 2022

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		

## Share Holding Pattern – October 2022

No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

# Share Holding Pattern – October 2022

<b>Bodies Corporate</b>		
<b>Serial No.</b>	<b>1</b>	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	<a href="#">Click here to go back</a>
PAN (II)	AATFP4879R	Total
No. of fully paid up equity shares held (IV)	5000000	5000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5000000	5000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.4	3.4
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5000000	5000000
Class eg:y		
Total	5000000	5000000
Total as a % of Total Voting rights	3.4	3.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.4	3.4
Number of Locked in shares (XII)		

# Share Holding Pattern – October 2022

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5000000	5000000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0



# Share Holding Pattern – October 2022

Any Other (specify)				
Serial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	100	5665	3	5768
No. of fully paid up equity shares held (IV)	52005	893117	850	945972
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	52005	893117	850	945972
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.04	0.61	0	0.65
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	52005	893117	850	945972
Class eg:y				
Total	52005	893117	850	945972
Total as a % of Total Voting rights	0.04	0.61	0	0.65
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				

## Share Holding Pattern – October 2022

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.04	0.61	0	0.65
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	52005	893117	850	945972
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

# Share Holding Pattern – October 2022

Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		
Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	<a href="#">Click here to go back</a>
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3917404	3917404
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3917404	3917404
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.67	2.67
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3917404	3917404
Class eg:y		
Total	3917404	3917404
Total as a % of Total Voting rights	2.67	2.67
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

# Share Holding Pattern – October 2022

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.67	2.67
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	3917404	3917404
Reason for not providing PAN		
Reason for not providing PAN		

## Significant beneficial owners

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:										12.22	12.22	12.22			