

## Share Holding Pattern – July 2021

### General information about company

<b>Scrip code</b>	543227
<b>NSE Symbol</b>	HAPPSTMNDS
<b>MSEI Symbol</b>	NOTLISTED
<b>ISIN</b>	INE419U01012
<b>Name of the company</b>	HAPPIEST MINDS TECHNOLOGIES LIMITED
<b>Whether company is SME</b>	No
<b>Class of Security</b>	Equity Shares
<b>Type of report</b>	Quarterly
<b>Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)</b>	30-06-2021
<b>Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date</b>	
<b>Shareholding pattern filed under</b>	Regulation 31 (1) (b)
<b>Whether the listed entity is Public Sector Undertaking (PSU)?</b>	No

## Share Holding Pattern – July 2021

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter- Non-Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

# Share Holding Pattern – July 2021

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78211953			78211953	53.25	78211953		78211953	53.25
(B)	Public	343527	63810502			63810502	43.45	63810502		63810502	43.45
(C)	Non Promoter-Non Public	1	4841101			4841101		4841101		4841101	3.3
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	4841101			4841101	3.3	4841101		4841101	3.3
	Total	343534	146863556			146863556	100	146863556		146863556	100

# Share Holding Pattern – July 2021

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.25	78211953	100			78211953
(B)	Public				43.45	5437027	8.52			58439176
(C)	Non-Promoter-Non Public									4841101
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				3.3					4841101
	Total				97	83648980	56.96			141492230

# Share Holding Pattern – July 2021

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60263169			60263169	41.03	60263169		60263169	41.03
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78211953			78211953	53.25	78211953		78211953	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78211953			78211953	53.25	78211953		78211953	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	13	4785919			4785919	3.26	4785919		4785919	3.26

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(c)	Alternate Investment Funds	4	322222			322222	0.22	322222		322222	0.22
(e)	Foreign Portfolio Investors	56	9154254			9154254	6.23	9154254		9154254	6.23
(f)	Financial Institutions/ Banks	1	42334			42334	0.03	42334		42334	0.03
(i)	Any Other (specify)	1	750003			750003	0.51	750003		750003	0.51
Sub-Total (B)(1)		75	15054732			15054732	10.25	15054732		15054732	10.25
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	338322	33803064			33803064	23.02	33803064		33803064	23.02
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	21	5277910			5277910	3.59	5277910		5277910	3.59

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(b)	NBFCs registered with RBI	1	81			81	0	81		81	0
(e)	Any Other (specify)	5108	9674715			9674715	6.59	9674715		9674715	6.59
Sub-Total (B)(3)		343452	48755770			48755770	33.2	48755770		48755770	33.2
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		343527	63810502			63810502	43.45	63810502		63810502	43.45
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
( 2 )	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	4841101			4841101	3.3	4841101		4841101	3.3
Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		1	4841101			4841101		4841101		4841101	3.3
Total ( A+B+C2 )		343534	146863556			146863556	100	146863556		146863556	100
Total (A+B+C )		343534	146863556			146863556	100	146863556		146863556	100

# Share Holding Pattern – July 2021

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				41.03	60263169	100			60263169
(d)				12.22	17948784	100			17948784
Sub-Total (A)(1)				53.25	78211953	100			78211953
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	78211953	100			78211953
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				3.26	0	0			4785919
(c)				0.22	0	0			322222
(e)				6.23	0	0			9154254
(f)				0.03	0	0			42334



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(i)				0.51	0	0			750003
Sub-Total (B)(1)				10.25	0	0			15054732
( 3 )	Non-institutions								
(a(i))				23.02	1554213	4.6			30925473
(a(ii))				3.59	2350940	44.54			4726128
(b)				0	0	0			81
(e)				6.59	1531874	15.83			7732762
Sub-Total (B)(3)				33.2	5437027	11.15			43384444
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				43.45	5437027	8.52			58439176
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
( 2 )				3.3					4841101
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									4841101
Total ( A+B+C2 )				100	83648980	56.96			141492230
Total (A+B+C )				97	83648980	56.96			141492230

# Share Holding Pattern – July 2021

## Individuals/Hindu undivided Family

Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	<a href="#">Click here to go back</a>
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60061701	31459	49063	42380	78566	60263169
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60061701	31459	49063	42380	78566	60263169
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	60061701	31459	49063	42380	78566	60263169
Class eg: y						
Total	60061701	31459	49063	42380	78566	60263169
Total as a % of Total Voting rights	40.9	0.02	0.03	0.03	0.05	41.03

## Share Holding Pattern – July 2021

No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Voting Rights held in each class of securities (IX)						
No. (a)	60061701	31459	49063	42380	78566	60263169
As a % of total Shares held (b)	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60061701	31459	49063	42380	78566	60263169
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

## Share Holding Pattern – July 2021

Any Other (specify)		
Serial No.	1	
Category	Partnership Firms	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

## Share Holding Pattern – July 2021

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	17948784	17948784
As a % of total Shares held (b)	100	100
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

# Share Holding Pattern – July 2021

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	<a href="#">Click here to go back</a>
PAN (II)	AAAJG0594R	Total
No. of fully paid up equity shares held (IV)	2766010	2766010
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2766010	2766010
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.88	1.88
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2766010	2766010
Class eg:y		
Total	2766010	2766010
Total as a % of Total Voting rights	1.88	1.88
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.88	1.88
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	2766010	2766010
Reason for not providing PAN		
Reason for not providing PAN		

# Share Holding Pattern – July 2021

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Qualified Institutional Buyer	
PAN (II)		Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	750003	750003
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	750003	750003
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.51	0.51
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	750003	750003
Class eg: y		
Total	750003	750003
Total as a % of Total Voting rights	0.51	0.51
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.51	0.51
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	750003	750003

# Share Holding Pattern – July 2021

Reason for not providing PAN		
Reason for not providing PAN		

## Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Others	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)					NON RESIDENT INDIAN NON REPATRIABLE		PLUTUS WEALTH MANAGEMENT LLP
PAN (II)							AATFP4879R
No. of the Shareholders (I)	6	2930	368	3	1190	609	1
No. of fully paid up equity shares held (IV)	6536	2682800	1012824	1267137	630564	4074048	3000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	6536	2682800	1012824	1267137	630564	4074048	3000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.83	0.69	0.86	0.43	2.77	2.04



## Share Holding Pattern – July 2021

Number of Voting Rights held in each class of securities (IX)							
Class eg: X	6536	2682800	1012824	1267137	630564	4074048	3000000
Class eg:y							
Total	6536	2682800	1012824	1267137	630564	4074048	3000000
Total as a % of Total Voting rights	0	1.83	0.69	0.86	0.43	2.77	2.04
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.83	0.69	0.86	0.43	2.77	2.04
Number of Locked in shares (XII)							
No. (a)	0	823965	0	342137	181256	184516	0
As a % of total Shares held (b)	0	30.71	0	27	28.75	4.53	0
Number of equity shares held in dematerialized form (XIV)	6536	928847	1012824	1079137	630564	4074048	3000000
Reason for not providing PAN							
Reason for not providing PAN							

## Share Holding Pattern – July 2021

Any Other (specify)		
<b>Serial No.</b>	<b>8</b>	
Category	Foreign Nationals	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		<a href="#">Click here to go back</a>
PAN (II)		Total
No. of the Shareholders (I)	2	5108
No. of fully paid up equity shares held (IV)	806	9674715
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	806	9674715
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	6.58
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	806	9674715
Class eg:y		
Total	806	9674715
Total as a % of Total Voting rights	0	6.58
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	6.58
Number of Locked in shares (XII)		
No. (a)	0	1531874

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As a % of total Shares held (b)	0	15.83
Number of equity shares held in dematerialized form (XIV)	806	7732762
Reason for not providing PAN		
Reason for not providing PAN		

### Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)

Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	<a href="#">Click here to go back</a>
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4841101	4841101
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4841101	4841101
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.3	3.3
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4841101	4841101
Class eg:y		
Total	4841101	4841101
Total as a % of Total Voting rights	3.3	3.3
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.3	3.3
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	4841101	4841101
Reason for not providing PAN		
Reason for not providing PAN		

## Significant beneficial owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:											12.22	12.22	12.22			