

Share Holding Pattern – Jul 2022

General information about company

General information about company	
Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NOTLISTED
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78197544			78197544	53.25	78197544		78197544	53.25
(B)	Public	718629	64586939			64586939	43.98	64586939		64586939	43.98
(C)	Non-Promoter-Non Public	1	4079073			4079073		4079073		4079073	2.78
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	4079073			4079073	2.78	4079073		4079073	2.78
	Total	718636	146863556			146863556	100	146863556		146863556	100

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.25	29372712	37.56			78197544
(B)	Public				43.98					62120739
(C)	Non-Promoter-Non-Public									4079073
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				2.78					4079073
	Total				97	29372712	20			144397356

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60248760			60248760	41.02	60248760		60248760	41.02
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78197544			78197544	53.25	78197544		78197544	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78197544			78197544	53.25	78197544		78197544	53.25
B	Table III - Statement showing shareholding pattern of the public shareholder										

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(1)	Institutions										
(a)	Mutual Funds	13	1486556			1486556	1.01	1486556		1486556	1.01
(c)	Alternate Investment Funds	1	2000			2000	0	2000		2000	0
(e)	Foreign Portfolio Investors	79	5877213			5877213	4	5877213		5877213	4
(i)	Any Other (specify)	1	600003			600003	0.41	600003		600003	0.41
Sub-Total (B)(1)		94	7965772			7965772	5.42	7965772		7965772	5.42
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	710288	41665958			41665958	28.37	41665958		41665958	28.37
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	19	4639736			4639736	3.16	4639736		4639736	3.16
(b)	NBFCs registered with RBI	2	659			659	0	659		659	0

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(e)	Any Other (specify)	8226	10314814			10314814	7.02	10314814		10314814	7.02
Sub-Total (B)(3)		718535	5621167			5621167	38.55	5621167		5621167	38.55
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		718629	64586939			64586939	43.98	64586939		64586939	43.98
C		Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder									
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	4079073			4079073	2.78	4079073		4079073	2.78
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	4079073			4079073		4079073		4079073	2.78
Total (A+B+C2)		718636	146863556			146863556	100	146863556		146863556	100
Total (A+B+C)		718636	146863556			146863556	100	146863556		146863556	100

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				41.02	29372712	48.75			60248760
(d)				12.22	0	0			17948784
Sub-Total (A)(1)				53.25	29372712	37.56			78197544
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	29372712	37.56			78197544

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B	Table III - Statement showing shareholding pattern of the public shareholder								
(1)	Institutions								
(a)				1.01					1486556
(c)				0					2000
(e)				4					5877213
(i)				0.41					600003
Sub-Total (B)(1)				5.42					7965772
(3)	Non-institutions								
(a(i))				28.37					40162542
(a(ii))				3.16					4474536
(b)				0					659
(e)				7.02					9517230
Sub-Total (B)(3)				38.55					54154967
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				43.98					62120739

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C	Table IV - Statement showing shareholding pattern of the Non-Promoter- Non Public shareholder								
(2)				2.78					4079073
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									4079073
Total (A+B+C2)				100	29372712	20			144397356
Total (A+B+C)				97	29372712	20			144397356
Disclosure of notes on shareholding pattern								Textual Information(1)	

Text Block

Textual Information ()	NRI - TOTAL SHAREHOLDERS- 4941, TOTAL SHARE - 2496945 NRI NON REPATRIABLE- TOTAL SHAREHOLDERS-2333, TOTAL SHARES-1097403 CLUBBED INTO NRI - 7,274, TOTAL SHARES- 3,594,348
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Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid-up equity shares held (IV)	60075393	31459	49063	18779	74066	60248760
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60075393	31459	49063	18779	74066	60248760
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	60075393	31459	49063	18779	74066	60248760
Class eg:y						
Total	60075393	31459	49063	18779	74066	60248760
Total as a % of Total Voting rights	40.91	0.02	0.03	0.01	0.05	41.02
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
Number of Locked in shares (XII)						
No. (a)	29372712	0	0	0	0	29372712
As a % of total Shares held (b)	48.89	0	0	0	0	48.75
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60075393	31459	49063	18779	74066	60248760
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

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Any Other (specify)		
Serial No.	1	
Category	Partnership Firms	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid-up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		

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No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

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Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	Qualified Institutional Buyer	
PAN (II)		Total
No. of the Shareholders (I)	1	1
No. of fully paid-up equity shares held (IV)	600003	600003
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	600003	600003
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.41	0.41
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	600003	600003
Class eg:y		
Total	600003	600003
Total as a % of Total Voting rights	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

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No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.41	0.41
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	600003	600003
Reason for not providing PAN		
Reason for not providing PAN		

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Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Foreign Nationals	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)							PLUTUS WEALTH MANAGEMENT LLP
PAN (II)							AATFP4879R
No. of the Shareholders (I)	4	7274	102	3	1	842	1
No. of fully paid-up equity shares held (IV)	1200	3594348	108539	1053170	45	5557512	4800000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1200	3594348	108539	1053170	45	5557512	4800000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	2.45	0.07	0.72	0	3.78	3.27
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1200	3594348	108539	1053170	45	5557512	4800000
Class eg:y							

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Total	1200	3594348	108539	1053170	45	5557512	4800000
Total as a % of Total Voting rights	0	2.45	0.07	0.72	0	3.78	3.27
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	2.45	0.07	0.72	0	3.78	3.27
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1200	2870264	108539	979670	45	5557512	4800000
Reason for not providing PAN							
Reason for not providing PAN							

Share Holding Pattern – Jul 2022

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	8226
No. of fully paid-up equity shares held (IV)	10314814
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	10314814
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.02
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	10314814

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Class eg:y	
Total	10314814
Total as a % of Total Voting rights	7.02
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	7.02
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	9517230
Reason for not providing PAN	
Reason for not providing PAN	

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Significant beneficial owners															
Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:										12.22	12.22	12.22			