

Share Holding Pattern – Dec 2021

General information about company

Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NOTLISTED
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78211953			78211953	53.25	78211953		78211953	53.25
(B)	Public	604030	64211685			64211685	43.72	64211685		64211685	43.72
(C)	Non Promoter-Non Public	1	4439918			4439918		4439918		4439918	3.02
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	4439918			4439918	3.02	4439918		4439918	3.02
	Total	604037	146863556			146863556	100	146863556		146863556	100

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.25	29372712	37.56			78211953
(B)	Public				43.72					60736119
(C)	Non Promoter-Non Public									4439918
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				3.02					4439918
	Total				97	29372712	20			143387990

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60263169			60263169	41.03	60263169		60263169	41.03
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78211953			78211953	53.25	78211953		78211953	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78211953			78211953	53.25	78211953		78211953	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	12	1885761			1885761	1.28	1885761		1885761	1.28

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(e)	Foreign Portfolio Investors	79	10182278			10182278	6.93	10182278		10182278	6.93
(f)	Financial Institutions/ Banks	1	42334			42334	0.03	42334		42334	0.03
(i)	Any Other (specify)	2	609250			609250	0.41	609250		609250	0.41
Sub-Total (B)(1)		94	12719623			12719623	8.66	12719623		12719623	8.66
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	596513	38765806			38765806	26.4	38765806		38765806	26.4
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	19	4750998			4750998	3.23	4750998		4750998	3.23
(b)	NBFCs registered with RBI	3	1040			1040	0	1040		1040	0
(e)	Any Other (specify)	7401	7974218			7974218	5.43	7974218		7974218	5.43
Sub-Total (B)(3)		603936	51492062			51492062	35.06	51492062		51492062	35.06
Total Public Shareholding		604030	64211685			64211685	43.72	64211685		64211685	43.72

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(B)=(B)(1)+(B)(2)+(B)(3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	4439918			4439918	3.02	4439918		4439918	3.02
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	4439918			4439918		4439918		4439918	3.02
Total (A+B+C2)		604037	146863556			146863556	100	146863556		146863556	100
Total (A+B+C)		604037	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern – Dec 2021

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No . (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				41.03	29372712	48.74			60263169
(d)				12.22	0	0			17948784
Sub-Total (A)(1)				53.25	29372712	37.56			78211953
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	29372712	37.56			78211953

Share Holding Pattern – Dec 2021

B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				1.28					1885761
(e)				6.93					10182278
(f)				0.03					42334
(i)				0.41					609250
Sub-Total (B)(1)				8.66					12719623
(3)	Non-institutions								
(a(i))				26.4					36651618
(a(ii))				3.23					4415798
(b)				0					1040
(e)				5.43					6948040
Sub-Total (B)(3)				35.06					48016496
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				43.72					60736119
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				3.02					4439918

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Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									4439918
Total (A+B+C2)				100	29372712	20			143387990
Total (A+B+C)				97	29372712	20			143387990

Individuals/Hindu undivided Family

Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60061701	31459	49063	42380	78566	60263169
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60061701	31459	49063	42380	78566	60263169
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03

Share Holding Pattern – Dec 2021

Class eg:X	60061701	31459	49063	42380	78566	60263169
Class eg:y						
Total	60061701	31459	49063	42380	78566	60263169
Total as a % of Total Voting rights	40.9	0.02	0.03	0.03	0.05	41.03
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
No. (a)	29372712	0	0	0	0	29372712
As a % of total Shares held (b)	48.9	0	0	0	0	48.74
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60061701	31459	49063	42380	78566	60263169
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern – Dec 2021

Any Other (specify)		
Serial No.	1	
Category	Partnership Firms	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

Share Holding Pattern – Dec 2021

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Share Holding Pattern – Dec 2021

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	MORGAN STANLEY INVESTMENT FUNDS EMERGING LEADERS E	Click here to go back
PAN (II)	AAJCM8623M	Total
No. of fully paid up equity shares held (IV)	1827171	1827171
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1827171	1827171
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.24	1.24
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1827171	1827171
Class eg:y		
Total	1827171	1827171
Total as a % of Total Voting rights	1.24	1.24
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.24	1.24

Share Holding Pattern – Dec 2021

Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1827171	1827171
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	Qualified Institutional Buyer	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	609250	609250
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	609250	609250
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.41	0.41

Share Holding Pattern – Dec 2021

Number of Voting Rights held in each class of securities (IX)		
Class eg: X	609250	609250
Class eg:y		
Total	609250	609250
Total as a % of Total Voting rights	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.41	0.41
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	609250	609250
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern – Dec 2021

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Others	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)					NON RESIDENT INDIAN NON REPATRIABLE		PLUTUS WEALTH MANAGEMENT LLP
PAN (II)							AATFP4879R
No. of the Shareholders (I)	7	4486	145	3	1918	841	1
No. of fully paid up equity shares held (IV)	3764	2564168	182543	1075000	834818	3313880	2500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3764	2564168	182543	1075000	834818	3313880	2500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.75	0.12	0.73	0.57	2.26	1.7
Number of Voting Rights held in each class of securities (IX)							

Share Holding Pattern – Dec 2021

Class eg: X	3764	2564168	182543	1075000	834818	3313880	2500000
Class eg:y							
Total	3764	2564168	182543	1075000	834818	3313880	2500000
Total as a % of Total Voting rights	0	1.75	0.12	0.73	0.57	2.26	1.7
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.75	0.12	0.73	0.57	2.26	1.7
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3764	1674490	182543	938500	834818	3313880	2500000
Reason for not providing PAN							
Reason for not providing PAN							

Share Holding Pattern – Dec 2021

Any Other (specify)		
Serial No.	8	
Category	Foreign Nationals	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	1	7401
No. of fully paid up equity shares held (IV)	45	7974218
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	45	7974218
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	5.43
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	45	7974218
Class eg:y		
Total	45	7974218
Total as a % of Total Voting rights	0	5.43
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern – Dec 2021

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	5.43
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	45	6948040
Reason for not providing PAN		
Reason for not providing PAN		

Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)

Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	Click here to go back
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4439918	4439918
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4439918	4439918

Share Holding Pattern – Dec 2021

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.02	3.02
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4439918	4439918
Class eg:y		
Total	4439918	4439918
Total as a % of Total Voting rights	3.02	3.02
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.02	3.02
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	4439918	4439918
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern – Dec 2021

Significant beneficial owners															
Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:										12.22	12.22	12.22			